Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25a of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I. David L Stone

Name of the Holding Company Director and Official
President/Director

II. Attestation

Title of the Holding Company Director and Official
attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Official

Date of Signature

For holding companies not registered with the SEC—Indicate status of Annual Report to Shareholders:
☐ is included with the FR Y-6 report
☐ will be sent under separate cover
☐ is not prepared

For Federal Reserve Bank Use Only

RSDD ID.
C.I.

Date of Report (top-tier holding company's fiscal year-end):
December 31, 2019
Month / Day / Year
N/A

Reporter's Legal Entity Identifier (LEI) (20 Character LEI Code)

Reporter's Name, Street, and Mailing Address

James Polk Stone Community BancShares, Inc

Legal Title of Holding Company

109 E Second St
(Mailing Address of the Holding Company) Street / P.O. Box

Portales NM 88130
City State Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Linda Davis
Asst. Secretary

Name

Title

575-356-6601 2916
Area Code / Phone Number / Extension

575-356-6777
Area Code / FAX Number

linda.davis@jpstonecb.com
E-mail Address

N/A

Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission? 0=No 1=Yes

In accordance with the General Instructions for this report (check only one),
1. a letter justifying this request is being provided along with the report... 0
2. a letter justifying this request has been provided separately... 0

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

Public reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.
REPORT ITEM 1

A. Form 10-K filed with the Securities and Exchange Commission

This holding company is not required to file Form 10-k with the Securities and Exchange Commission

B. Annual Reports to Shareholders

No formal written report was made available to the holding company shareholder at the annual meeting of the holding company held January 21, 2020 because all shareholders are members of both the Board of Directors of the holding company and its subsidiary, thus receiving comprehensive monthly reports and year-end summaries as a matter of course with the business and responsibilities of the Board of Directors.

REPORT ITEM 2a

ORGANIZATION CHART

James Polk Stone Community BancShares, Inc.  
Portales, NM 88130  
Incorporated in New Mexico

| 100% ownership |

James Polk Stone Community Bank  
Portales, NM 88130  
Chartered in New Mexico

This holding company has no investments as a result of debts previously contacted or as a result of a fiduciary relationship; no banks or bank holding companies are held, directly or indirectly, by its subsidiary bank; nor does it hold investments in non-voting equity.

** No LEI is available
Results: A list of branches for your depository institution: JAMES POLK STONE COMMUNITY BANK (ID_RSSD: 785857).

This depository institution is held by JAMES POLK STONE COMMUNITY BANCSHARES, INC. (1104978) of PORTALES, NM.

The data are as of 06/30/2020. Data reflects information that was received and processed through 07/05/2020.

Reconciliation and Verification Steps:
1. In the Data Action column of each branch row, enter one or more of the actions specified below
2. If required, enter the date in the Effective Date column

Actions
OK: If the branch information is correct, enter ‘OK’ in the Data Action column.
Change: If the branch information is incorrect or incomplete, revise the data, enter ‘Change’ in the Data Action column and the date when this information first became valid in the Effective Date column.
Close: If a branch listed was sold or closed, enter ‘Close’ in the Data Action column and the sale or closure date in the Effective Date column.
Delete: If a branch listed was never owned by this depository institution, enter ‘Delete’ in the Data Action column.
Add: If a reportable branch is missing, insert a row, add the branch data, and enter ‘Add’ in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

Submission Procedure
When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:
To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.

The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - https://y10online.federalreserve.gov.

FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.

<table>
<thead>
<tr>
<th>Data Action</th>
<th>Effective Date</th>
<th>Branch Service Type</th>
<th>Branch ID_RSSD*</th>
<th>Popular Name</th>
<th>Street Address</th>
<th>City</th>
<th>State</th>
<th>Zip Code</th>
<th>County</th>
<th>Country</th>
<th>FDIC UNINUM*</th>
<th>Office Number*</th>
<th>Head Office</th>
<th>Head Office ID_RSSD*</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>OK</td>
<td>Full Service</td>
<td>785857</td>
<td>BRI EAST 2ND STREET</td>
<td>PORTALES</td>
<td>NM</td>
<td>88130-6208</td>
<td>ROOSEVELT</td>
<td>UNITED STATES</td>
<td>Not Required</td>
<td>Not Required</td>
<td>JAMES POLK STONE COMMUNITY BANK</td>
<td>JAMES POLK STONE COMMUNITY BANK</td>
<td>785857</td>
<td>785857</td>
<td></td>
</tr>
<tr>
<td>OK</td>
<td>Full Service</td>
<td>2991413</td>
<td>CLOVIS BRANCH</td>
<td>CLOVIS</td>
<td>NM</td>
<td>88101-3500</td>
<td>CLOVIS</td>
<td>NM</td>
<td>88101-3500</td>
<td>CLOVIS</td>
<td>NM</td>
<td>88101-3500</td>
<td>CLOVIS</td>
<td>NM</td>
<td>88101-3500</td>
</tr>
<tr>
<td>OK</td>
<td>Full Service</td>
<td>4503246</td>
<td>EUNICE BRANCH</td>
<td>EUNICE</td>
<td>NM</td>
<td>88231-4604</td>
<td>EUNICE</td>
<td>NM</td>
<td>88231-4604</td>
<td>EUNICE</td>
<td>NM</td>
<td>88231-4604</td>
<td>EUNICE</td>
<td>NM</td>
<td>88231-4604</td>
</tr>
<tr>
<td>OK</td>
<td>Full Service</td>
<td>3654767</td>
<td>WICHITA ROAD BRANCH</td>
<td>PORTALES</td>
<td>NM</td>
<td>88130-6208</td>
<td>WICHITA</td>
<td>NM</td>
<td>88130-6208</td>
<td>WICHITA</td>
<td>NM</td>
<td>88130-6208</td>
<td>WICHITA</td>
<td>NM</td>
<td>88130-6208</td>
</tr>
<tr>
<td>OK</td>
<td>Full Service</td>
<td>4803470</td>
<td>ROSEWELL BRANCH</td>
<td>ROSEWELL</td>
<td>NM</td>
<td>88203-3500</td>
<td>ROSEWELL</td>
<td>NM</td>
<td>88203-3500</td>
<td>ROSEWELL</td>
<td>NM</td>
<td>88203-3500</td>
<td>ROSEWELL</td>
<td>NM</td>
<td>88203-3500</td>
</tr>
</tbody>
</table>

* FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.
# Form FR Y-6

James Polk Stone Community BancShares, Inc.
Portales, New Mexico
Fiscal Year Ending December 31, 2019

## Report Item 3: Securities Holders

<table>
<thead>
<tr>
<th>Current Securities Holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12-31-2019</th>
<th>Securities Holders not listed in 3(1)(a) through (3)(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12-31-2019</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Name &amp; Address (City, State, Country)</strong></td>
<td><strong>Name &amp; Address (City, State, Country)</strong></td>
</tr>
<tr>
<td>James Hatch Portales, NM USA</td>
<td>N/A</td>
</tr>
<tr>
<td>D. Barry Stone Roswell, NM USA</td>
<td>N/A</td>
</tr>
<tr>
<td>Deborah Stone Ingraham Lenoir City, TN USA</td>
<td>N/A</td>
</tr>
<tr>
<td>James David Ingraham Charleston, SC USA</td>
<td>N/A</td>
</tr>
<tr>
<td>Robin S. Willingham Artesia, NM USA</td>
<td>N/A</td>
</tr>
<tr>
<td>Douglas B Stone, Jr. Portales, NM USA</td>
<td>N/A</td>
</tr>
<tr>
<td>Deanna Stone Waddell Frisco, TX USA</td>
<td>N/A</td>
</tr>
<tr>
<td>Names &amp; Address (City, State, Country)</td>
<td>Principal Occupation if other than with holding company</td>
</tr>
<tr>
<td>------------------------------------</td>
<td>--------------------------------------------------</td>
</tr>
<tr>
<td>David L. Stone</td>
<td>N/A</td>
</tr>
<tr>
<td>Portales, NM USA</td>
<td></td>
</tr>
<tr>
<td>D. Barry Stone</td>
<td>N/A</td>
</tr>
<tr>
<td>Roswell, NM USA</td>
<td></td>
</tr>
<tr>
<td>Douglas B. Stone, Jr.</td>
<td>N/A</td>
</tr>
<tr>
<td>Portales, NM USA</td>
<td></td>
</tr>
<tr>
<td>Robin S. Willingham</td>
<td>N/A</td>
</tr>
<tr>
<td>Artesia, NM USA</td>
<td></td>
</tr>
<tr>
<td>James Hatch</td>
<td>N/A</td>
</tr>
<tr>
<td>Bernalillo, NM USA</td>
<td></td>
</tr>
<tr>
<td>Linda Davis</td>
<td>N/A</td>
</tr>
<tr>
<td>Portales, NM USA</td>
<td></td>
</tr>
<tr>
<td>Deanna Stone Waddell</td>
<td>N/A</td>
</tr>
<tr>
<td>Frisco, TX USA</td>
<td></td>
</tr>
<tr>
<td>Deborah Stone Ingraham</td>
<td>N/A</td>
</tr>
<tr>
<td>Lenior, TN</td>
<td></td>
</tr>
</tbody>
</table>

* The holding company has no elected directors. David L. Stone acts as the President/Director.