



FEDERAL RESERVE BANK
OF DALLAS

Mario Hernandez
Assistant Vice President
Regulatory Reports

2200 N. PEARL ST.
DALLAS, TX 75201-2272

December 7, 2016

The *Annual Report of Holding Companies* (FR Y-6) must be filed by all top-tier bank and savings & loan holding companies (“reporters”) and received by this Reserve Bank no later than 5:00 PM, 90 calendar days after the reporter’s fiscal year end. If the deadline falls on a weekend or holiday, then it is moved to the next business day.

- **New for 2015-2016:** For every entity in your organization, insert its legal entity identifier (LEI) below the entity’s legal name on your organization chart (Report Item 2a). If the entity does not have a LEI, type “LEI: None.” See the FR Y-6 report instructions for examples.
- The most up-to-date report forms and instructions should be accessed at <http://www.federalreserve.gov/reportforms>. **Do not leave any report items blank.**
- A training module covering FR Y-6 preparation is available at <http://dallasfed.org/banking/nic.cfm>.
- The FR Y-6 is a public document and should **exclude personal information** (e.g., home addresses, social security numbers, birth dates, etc.) that may compromise individuals’ privacy.
- Confidential treatment will only be considered for information meeting the criteria and format described under “Confidentiality” in the report instructions.
- The FR Y-6 must be signed by an **executive officer who is also a director**, or by the chairperson of the reporter’s board of directors.
- Complete the required branch verification report (Report Item 2b) at <http://structurelists.federalreserve.gov> and email it to structure.verification@dal.frb.org in **Microsoft Excel workbook** format. Please highlight any revised cells.
 - **Submit an FR Y-10 report (if not previously submitted) for any noted changes** (FR Y-10 reports are due within 30 calendar days of any structure change).
- Templates for Report Items 3 (Securities Holders) and 4 (Insiders), and a submission checklist, are located at <http://dallasfed.org/banking/nic.cfm> under the FR Y-6 section.

We cannot accept FR Y-6 reports electronically. Please send one complete, original report (no copies) via US Mail or other service to (please note our new department name):

US Mail:
NIC Unit, Statistics Dept.
Federal Reserve Bank of Dallas
P. O. Box 655906
Dallas, TX 75265-5906

FedEx, UPS, courier, etc.:
NIC Unit, Statistics Dept.
Federal Reserve Bank of Dallas
2200 North Pearl Street
Dallas, TX 75201-2216

FR Y-6 Due Dates	
Fiscal Year End	Due Date
November 30, 2016	February 28, 2017
December 31, 2016	March 31, 2017
June 30, 2017	September 28, 2017
August 31, 2017	November 29, 2017
September 30, 2017	December 29, 2017

For questions, contact Mike Frank at mike.frank@dal.frb.org/214-922-6212, Angela Flowers at angela.flowers@dal.frb.org/214-922-6173, or Joezi Xe at joezi.xe@dal.frb.org/214-922-5414.

Mario Hernandez